

Compliance and Governance



492-P26-VE-1



Virtual



22 hours



EGP 7,300

Course Description:

This course is designed for compliance and risk management professionals to enable them to identify, prevent, and investigate financial crimes such as money laundering, fraud, and bribery within their organizations. Through interactive sessions, assignments, and quizzes, Participants will gain expertise in policies, detection methods, and legal principles to successfully combat financial crime. Upon completion, learners will be equipped to skillfully implement risk assessments, monitoring practices, and escalation procedures to protect their organizations.

Target Audience:

- Money Laundering Reporting Officers (MLROs).
- Fraud and Risk Management.
- Compliance Officers.
- Heads of Financial Crime.
- Human Resources Management.

Course Objectives:

By the end of the program, participants will be able to:

- Apply examples of financial crime to identify risks in a workplace situation.
- Use knowledge of crime types to categorize suspicious behaviors in a scenario.
- Construct a prevention plan utilizing security and risk strategies.
- Detect financial crime indicators by employing monitoring techniques.
- Solve a financial crime scenario by implementing investigation steps.
- Address a compliance issue by applying HR policies.
- Manage a crime incident outcome by executing media and prosecution actions.

Course Outline:

Module 1: Introduction to the world of financial crime

- Financial Crime.
- Three Phases of Money Laundering.
- Anti-Money Laundering (AML) Risks.
- Financial Crime Deterrence.

- Warning Signs.
- Key Control Elements.

Module 2: Types of crime

- Money Laundering.
- Fraud.
- Theft.
- Computer Intrusion.
- Terrorism.
- Bribery and corruption.

Module 3: Prevention

- Policies, Processes, Procedures.
- Security – physical.
- Surveillance – Covert and Overt.
- Risk assessments.
- Staff vetting.
- Training.
- Systems reviews.

Module 4: Detection

- Monitoring - Transactions, Emails, Mobile phones, Landline telephones.
- Whistleblowing.
- News Reports.
- Customer complaints.

Module 5: Investigation

- Analysis of information.
- Escalation processes.

Module 6: Laws and Human Rights

- Human Resources issues.

Module 7: Ancillary actions & Summary

- In-house issues.
- Prosecution.
- Media considerations.



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Module 8: Impact Session

- Gathering feedback from participants to recap the key topics covered in the training.
- Group activity (Split the participants into groups to share experience, by discussing challenges faced and successes achieved, then share the top challenges and solutions to the whole group for more effective outcomes).
- Encouraging the participants to solve a case study by sharing one takeaway to apply what they learned.

Assessment Strategy

- Participants will be assessed on their interaction during sessions and the completion of the assignments and quizzes following the sessions.

Course completion will grant participants:

2 CEUs.

Course Language:

English.

Prerequisites:

None.

Certificate requirements:

Participants must attend an impact session, which will take place 3–4 weeks after the last day of the course, in order to receive the certificate. This session will last two hours, and its duration will be included in the total program hours. In addition to completing all the required assignments and attending at least 80% of the course duration.