



468-P25-VE



Virtual



24 hours

### Course Description:

Participants who are already involved or desire to be involved in the Risk Management Process will be able to apply risk management techniques that help the BOD to take the appropriate decisions regarding setting the Risk appetite, Risk Tolerance that will be linked with the Bank's strategy.

### Target Audience:

- Risk Professionals.
- Audit & Compliance Professionals.
- Branch Managers.
- Operation Managers.
- Relationship Officers / Managers.

### Course Objectives

By the end of the course, participants will be able to:

- Categorize Types of Risks & Elements of Risk Management.
- Explain Risk Assessment.
- Explain the Integration of Risk Management, including the Five Lines of Defense.
- Demonstrate Risk Management Processes, the impact of global regulation, and the Supplier Management Framework.
- Illustrate Model Risk Policy.
- Present Business Resilience & Recovery Planning.
- List CBE Regulations.

### Course Outline

#### Module One: Risk Management Framework

##### Session One: Risk Assessment

- How do we define risk?
- The impact of the external environment on risk "PESTLE"
- What several types of risks are there?
- Risk Management.
- Why is risk management important?
- Enterprise Risk Management vs. Traditional Risk Management
- Assignment

#### Session Two: Risk Management Framework

- Risk Management framework.
- Risk Management Cycle
- Risk Responses
- Identify Mitigation using the Impact and Probability Grid
- Risk Monitoring & Control – Basel Committee principles.
- Assignment

#### Module Two: Risk Assessment Techniques:

##### Session One: Risk Assessment Techniques:

- Risk Assessment – The 9 Steps
- Risk assessment techniques.
- Assignment

#### Module Three: The 5 Lines of Defense:

##### Session One: The 5 Lines of Defense:

- Integration of Risk Management
  - First line of defense: Business lines
  - The second Line of Defense: Risk Management and Compliance Functions
  - Third Line of Defense: Internal Audit
  - Fourth line of defense: External Audit
  - Fifth line of defense: Regulators and Supervisors
- Assignment

#### Module Four: Third Party Policies:

##### Session One: Third Party Policies:

- Third parties
- The risk processes
- Roles & Responsibilities
- Types of third-party engagements
- Impact of Global Regulation
- The Risk Management Template
- Supplier Risk Management
- Supplier selection
- Contract Authorization
- Knowledge Transfer as part of the handover- Key Factors?

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- Key Performance Indicators (KPIs)- importance?
- Escalation process for critical supplier arrangements- includes key contact points.
- Assignment

### Module Five: Model Risk Policy:

#### Session One: Model Risk Policy:

- Model Risk Policy
- Model Risk
- Use of models
- A typical model risk policy
- Assessing materiality
- Model Approval
- Components of model validation
- Roles, responsibilities, and authorities
- Assignment

### Module Six: Business Resilience& Recovery Planning:

#### Session One: Business Resilience& Recovery Planning:

- Business continuity
- key concepts
  - Business continuity management (BCM)
  - Business continuity planning (BCP)
  - Disaster recovery planning (DRP)
- Business continuity documentation
- Recovery planning
- Assignment

### Module Seven: CBE Regulations:

#### Session One: CBE Regulations:

- Regulatory Tools
- Examples of Regulations
- Assignment

### Assessment Strategy

- 70% Assignments between sessions
- 30% Participation
- The cut-off score is 60% of the total grade, which is "100.

### Upon Successful Completion of this Course, participants will obtain:

2.2 CEUs

### Course Language:

English

### Prerequisites:

Intermediate level of English.