



429-P25-VE



Virtual



40 hours

### Course Description:

This course aims to provide participants with a detailed global and practical vision of the risk-based audit approach, operational risk auditing, and market activities.

### Target Audience:

This course targets audit managers and senior audit managers, preferably with 5–15 years' experience.

### Course Objectives:

- Explain Reminders, Credit Risk Audit.
- Identify Control of Market Activities.
- Define Operational Risk Auditing.
- Explain Audit Risk.

### Course Outline

#### Module One: Reminders And Credit Risk Audit

##### Session One: Audit Methodology According to International Standards IIA

- Audit methodology according to international standards IIA.
- General information.
- Assignment

##### Session Two: Audit Of Credit Risk

- Credit risk reference texts (Basel 2 and Basel 3).
- Audit credit risk monitoring and control system / operational framework.
- Assignment

##### Session Three: Risk Monitoring System

- Risk monitoring system: detection.
- Audit the recovery framework and monitoring system.
- Assignment

##### Session Four: Audit Of the Permanent Control System for Credit Risk

- Audit of the permanent control system for credit risk
- Assignment

#### Module Two: Control Of Market Activities (Identify Audit Market Activities).

##### Session One: Control Of Market Activities

- Audit market activities.
- Definitions of the terms.
- Assignment

##### Session Two: Measures of Market Risk

- Measures of market risk.
- General issue statement.
- Assignment

##### Session Three: Analysis by Function

- Analysis by function.
- Non-exhaustive list of recurring anomalies.
- Assignment

#### Module Three: Operational Risk Auditing

##### Session One: Audit Operational Risk

- Audit operational risk.
- Operational risk reference texts (Basel 2 and Basel 3)
- Assignment

##### Session Two: Operational Risk Management

- Operational risk management: identification, evaluation, supervision, limitation/ mitigation, and control.
- Identification, analysis, and categorization of losses.
- Assignment

##### Session Three: Operational Risk Calculation

- Operational risk calculation methods (Basel 2 and 3).
- Information system audit.
- Assignment

#### Module Four: Audit Risk

##### Session One: Audit Risk and Regulatory Risks

- Audit risks and regulatory risks (credit, market, and operational).
- Risk reference texts.
- Strategic risk audit.
- Assignment



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### Session Two: Noncompliance Risk Audit

- Noncompliance risk audit.
- Audit image/reputation risk audit.
- Outsourced activities audit.
- Assignment

### Assessment Strategy:

- 20% of Assignments between sessions
- 20% Participation
- 60% Formal End-of-course Test
- The cut-off score is 60% of the total grade, which is "100."

### Upon successful course completion, participants will obtain:

3.5 CEUs

### Course Language:

English

### Prerequisites:

None