

The Effectiveness of Internal Control System -intermediate



431-P24-VE-1



Virtual



12 hours



EGP 3340

Course Description:

In this course participants will understand the regulatory background as well as a practical vision of banking internal control systems.

Target Audience:

This program targets departments' Heads of internal control and audit in banks.

Course Objectives:

- Identity the regulatory Framework of internal control.
- Explain process approach and risk mapping

Course Outline

Module One: Reminder of the Regulatory Framework of Internal Control:

Session one: Internal Control.

- Definition of Internal Control.
- Regulation and global supervision :
 - International Steering Committees.
 - European Steering Committees.
- Role and missions of regulators.
- Controls, fines and sanctions.
- Basel principles
- Key points of Basel Committee best practices
- Assignment

Session two: Regulatory framework of internal control

- Governance principles
- 3-level control framework
- Regulatory risk categories
- Target organisation for risk management
- Standard charter for internal control
- Procedures, limits and delegation
- Role of periodic control.
- Assignment.

Module Two: Process Approach and Risk Mapping.

Session one: Operational processes

- Identify operational processes
- Determine objectives and benchmarks
- Implementation process
- Permanent Control Articulation
- Incident collection and corrective actions
- Assignment

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CENTRAL BANK OF EGYPT
Egyptian Banking Institute

Accounting and Audit

Training Offerings 2024-2025



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Session two: Principles of a risk-based approach

- Principles of a risk-based approach
- KYC, profiling, risk classification and IS and human monitoring
- Measures related to the protection of customer interests
- Information system (IS) security and cybercrime
- Other permanent and thematic controls
- Assignment.

Assessment Strategy

- 70% assignments between sessions
- 30% participation
- Cut off score is 60% of total grade which is "100"

Upon Successful Completion of this Course, participants will obtain:

1.1 CEUs

Course Language:

English

Prerequisites:

Intermediate level of English