



CENTRAL BANK OF EGYPT
Egyptian Banking Institute

Advanced Certificate in Governance, Risk and Compliance



17 Hours



Virtual

Center of **Excellence** and **Knowledge Hub**

www.ebi.gov.eg

Course Description:

As the world of compliance changes at an ever-increasing pace, this course will help delegates to gain specialist knowledge and practical skills to manage regulatory risk, both now and in the future, understand how excellent regulatory compliance management protects your firm and enhances its competitive advantage in addition to boost professional profile by gaining an internationally recognised qualification.

Target Audience:

- Compliance employees
- Those who have recently been given or aspire to be given compliance responsibilities
- Those employees with management responsibility for compliance employees

Course Objectives:

Learning outcomes. By the end of the programmes, delegates should be able to:

- To learn why do we need regulation and how has it changed over time?
- What do we need to understand about regulation?
- To Understand governance, risk and compliance (GRC)
- To learn about Practical aspects of GRC
- To learn how to manage compliance and regulatory risks
- To recognise the core GRC topics: financial crime, ESG, conduct risk, data

Course Outline:**Module 1: Knowledge and Understanding****Unit 1: Why do we have to do what we do?**

- Why do we need regulation?
- What are the objectives of regulation?
- How and why has regulation developed over time?
- Our regulated environments
- Consumer and investor protection – and new developments

Unit 2 – What do we need to understand about regulation?

- Making markets work well
- Understanding what regulators do
- The risk-based approach
- Global regulatory structures
- Understanding different regulatory approaches
- Regulatory methodologies – their powers
- Influencers and influences – the international dimensions
- Reactive or proactive – responding to regulation
- Appendices:
- Case study of a regulator
- Regulatory objectives review
- Risk based regulation

Unit 3 – Understanding governance, risk and compliance

- What is GRC?
- The relationships between governance, risk and compliance
- Why is this so important?
- Appendix – some illustrations and examples

Module 2: Application

Unit 4 – Practical GRC

- Effective GRC in action
- The role of compliance – what we do, why we do it...
- The compliance professional
- Different roles
- Skills and qualities
- Why relationship management is so important
- Some practical applications
- Links between GRC, culture and ethics
- Appendix: Compliance activities – a case study scenario

Unit 5 – Managing compliance and regulatory risks

- The links between risk and compliance
- Managing risks effectively
- Why do we need to do this?
- Benefits and consequences
- Examples of failure – case studies

Unit 6 – practical compliance and core GRC subjects

- The regulatory requirement to manage the risks of financial crimes
- Anti money laundering
- Other financial crime examples
- The rise in the significance of ESG
- Conduct risk and conduct risk management
- Data protection
- Prudential compliance
- Appendix – case studies

Learner journey:

Delegates receive booking confirmations	<p>Delegates receive booking confirmations with the following details:</p> <ul style="list-style-type: none"> • Delegates' ID • Username and password to access course materials. • Course schedule
Self-study-reading the course manual	<p>The Advanced Certificate in Governance Risk and Compliance course manual is a comprehensive review and insight into key compliance, risk and governance subjects that impact on the roles and responsibilities of the compliance professional. It is designed to both raise and consolidate existing knowledge gained through experience to date, and to point to practical application of this knowledge and understanding.</p> <p>Completion of the manual reading is very important. Learners will find the VC session far more beneficial if they have completed reading the manual before the first class.</p> <p>Students must be fully aware they are highly unlikely to pass the course examination by attending the VCs only. The required studying for this qualification includes both the course manual and the VCs.</p>
Introduction session	<p>Session is designed to introduce delegates to course format and examination</p>
Virtual Session 1	<p>Throughout the virtual session, the trainer will present key themes and topics covered, and engage in questions and answers throughout the session. The session also involves group exercises to put knowledge into practice.</p> <p>At the end of VC1, students should:</p> <ul style="list-style-type: none"> • An overview of the regulatory environment • Have a better understanding of the reasons for regulation. • Definition of ethics and organizational governance • Recognise and operate within a regulated environment. • Identify the core objectives of regulation. • Key characteristics of the more recently announced holistic regulatory changes and new legislature.

Learner journey:

Virtual Session 2	<p>Throughout the virtual session, the trainer will present key themes and topics covered, and engage in questions and answers throughout the session. The session also involves group exercises to put knowledge into practice.</p> <p>At the end of VC2, students should:</p> <ul style="list-style-type: none"> • Discuss why regulators do what they do. • Be able to identify different regulatory structures & Approaches. • Recognise the powers or methodologies they use. • Main types of risk classes that Firms face and must cope with • Effective Management of Compliance Risks • Identify the influences on regulation in their jurisdiction.
Virtual Session 3	<p>Throughout the virtual session, the trainer will present key themes and topics covered, and engage in questions and answers throughout the session. The session also involves group exercises to put knowledge into practice.</p> <p>At the end of VC3, students should:</p> <ul style="list-style-type: none"> • Review the role of the compliance function/ department. • The corporate governance and its impact on compliance function • Board of director's responsibilities and the committees • Building an effective, holistic governance, risk and compliance model across an organization • The Role of Public Disclosure and Transparency Requirements • Examine effective ways of dealing with problems.
Virtual Session 4	<p>Throughout the virtual session, the trainer will present key themes and topics covered, and engage in questions and answers throughout the session. The session also involves group exercises to put knowledge into practice.</p> <p>At the end of VC4, students should:</p> <p>Reviewed the risk-based compliance approach.</p> <p>Identified the components of risk management methodologies.</p> <p>Understood the role of compliance in preventing financial crimes.</p> <p>Build an understanding of examples of financial crimes (including ML)</p> <p>Fraud prevention, detection and investigation</p> <p>Internal Control policy and procedures</p>

Learner journey:

Examination	<p>This is a 2-hour online examination consisting of 50 questions with equal representation of the different question types:</p> <ol style="list-style-type: none"> 1. 50% standalone factual questions testing knowledge and recall. 2. 50% case study type questions testing comprehension, application, analysis and evaluation. <p>Candidates will be graded based on the following rules:</p> <ul style="list-style-type: none"> • Pass - 59% - 50% • Merit - 69% - 60% • Distinction – 70% and above <p>Multiple Choice Questions examination</p> <p>A multiple-choice exam will ask candidates to recognise a correct answer among a set of options rather than asking them to write out a correct answer entirely from their knowledge.</p> <p>Delegates will be able to download Certificates upon successful completion of examination.</p>
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